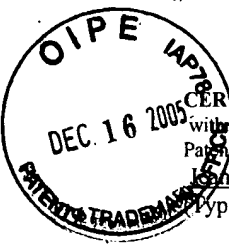


COFC

IFW

EX



CERTIFICATE OF MAILING. I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Alexandria, VA 22313-1450, on 14 December 2005 (Date).

Joanne Camara
(Typed or Printed Name of Person Mailing Paper or Fee)

Joanne Camara
(Signature of Person Mailing Paper or Fee)

PATENT APPLICATION
Attorney Docket No. UC05-651-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|-------------------------------|---|-------------------------|
| In re Application of |) | |
| |) | |
| Kevin Wayne Gauna |) | Group Art Unit: 1871 |
| |) | |
| U.S. Patent No.: 6,909,239 B2 |) | |
| Issued: 21 June 2005 |) | |
| |) | |
| Application No.: 10/616,313 |) | Examiner: Tran, Thuy V. |
| Filed: 8 July 2003 |) | |
| |) | |
| For: DUAL LED/INCANDESCENT |) | |
| SECURITY FIXTURE |) | |

Certificate
DEC 21 2005
of Correction

REQUEST FOR CERTIFICATE OF CORRECTION

Certificate of Correction Branch of the Publishing Division
Assistant Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Dear Sir:

We hereby request that the above-referenced U.S Patent be corrected in the following way:

On the front page (at Inventor(s)), please add the following to inventors,

- Erik R. Page, Winters, CA--
- (US)--
- Michael Siminovitch, Woodland, CA--
- (US)--.

12/19/2005 TBESHAH1 00000014 6909239

01 FC:1811

100.00 OP

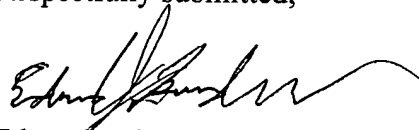
Enclosed are:

- [x] PTO form PTO/SB/44;
- [x] Statement by Assignee Concerning Correction of Inventorship in Accordance with 37 C.F.R. §1.324;
- [x] Statement under 37 C.F.R. §1.324 from Person Currently Named as an Inventor;
- [x] 2 Statements under 37 C.F.R. §1.324 from Person Being Added as an Inventor;
- [x] Combined Declaration and Power of Attorney in triplicate; and
- [x] \$100.00 Certificate of Correction Fee.

Edward J. Grundler
Park, Vaughan and Fleming LLP
2820 Fifth Street
Davis, CA 94306
(530) 759-1661
Email: edward@parklegal.com

Respectfully submitted,

By



Edward J. Grundler
Registration No. 47,615

Date: 14 December 2005

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

(Also Form PTO-1050)

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO : 6,909,239 B2

DATED : 21 June 2005

INVENTOR(S): Kevin Wayne Gauna et al.

It is certified that an error appears in the above-identified patent and that said Letters Patent are hereby corrected as shown below:

On the front page (at Inventor(s)), please add the following to inventors,

--Erik R. Page, Winters, CA--

--(US)--

--Michael Siminovitch, Woodland, CA--

--(US)--

MAILING ADDRESS OF SENDER:

Edward J. Grundler
Park, Vaughan & Fleming LLP
2820 Fifth Street
Davis, CA 95616

PATENT NO: 6,909,239 B2

Davis, CA 95616

Burden Hour Statement: This form is estimated to take 1.0 hour to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, P.O. Box 1450, Arlington, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Further Application of

Kevin W. Gauna

Patent No.: 6,909,239

Issued: 21 June 2005

For: **DUAL LED/INCANDESCENT
SECURITY FIXTURE**

Group Art Unit: 1871

Examiner: Tran, Thuy V.

**STATEMENT BY ASSIGNEE CONCERNING CORRECTION OF INVENTORSHIP
IN ACCORDANCE WITH 37 C.F.R. §1.324**

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

The undersigned ASSIGNEE of the entire interest in the application for letters patent identified above hereby consents, in accordance with 37 C.F.R. § 1.324, to the correction of inventorship of the application wherein Erik R. Page and Michael Siminovitch are added as inventors.

The following evidentiary documents establish a chain of title from the original owners to the Assignee:

— a copy of an Assignment from the additional inventor which is attached hereto, the original of which is herewith forwarded to the Patent and Trademark Office for recording; AND

X the Assignment recorded on 8 July 2003 at reel 014303, frame 0965.

Pursuant to 37 C.F.R. § 3.73(b) the undersigned Assignee hereby states that evidentiary documents have been reviewed and hereby certifies that, to the best of ASSIGNEE's knowledge and belief, title is in the identified ASSIGNEE.

Please direct all telephone calls and correspondence to:

Edward J. Grundler
Park, Vaughan & Fleming, LLP
2820 Fifth Street
Davis, CA 95616-7759
(530) 759-1663

ASSIGNEE: The Regents of the University of California

Signature: Linda S. Stevenson

Name: Linda S. Stevenson

Title: Manager, Patent Prosecution

Date: July 5, 2005



Attorney Docket No. UC05-651-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
Kevin W. Gauna) Group Art Unit: 1871
Patent No.: 6,909,239) Examiner: Tran, Thuy V.
Issued: 21 June 2005)
For: DUAL LED/INCANDESCENT)
SECURITY FIXTURE)

**STATEMENT UNDER 37 C.F.R. § 1.324 FROM
PERSON CURRENTLY NAMED AS AN INVENTOR**

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In accordance with 37 C.F.R. § 1.324, I, Kevin W. Gauna, hereby declare that I agree to the change of inventorship, which adds Erik R. Page and Michael Siminovitch as Inventors to the above listed issued patent.

Date: 12/7/05

Signature: 

Please direct any telephone calls or correspondence concerning this statement to:

Edward J. Grundler
Park, Vaughan & Fleming, LLP
2820 Fifth Street
Davis, CA 95616-7759
(530) 759-1663



Attorney Docket No. UC05-651-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
Kevin W. Gauna) Group Art Unit: 1871
Patent No.: 6,909,239) Examiner: Tran, Thuy V.
Issued: 21 June 2005)
For: **DUAL LED/INCANDESCENT**)
SECURITY FIXTURE)

**STATEMENT UNDER 37 C.F.R. § 1.324 FROM
PERSON BEING ADDED AS AN INVENTOR**

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In accordance with 37 C.F.R. § 1.324, I, Erik R. Page, hereby declare that the error in inventorship that is being corrected by my addition as an inventor occurred without deceptive intention on my part.

Date: 7-6-05

Signature: E. R. Page

Please direct any telephone calls or correspondence concerning this statement to:

Edward J. Grundler
Park, Vaughan & Fleming, LLP
2820 Fifth Street
Davis, CA 95616-7759
(530) 759-1663



Attorney Docket No. UC05-651-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
Kevin W. Gauna) Group Art Unit: 1871
Patent No.: 6,909,239) Examiner: Tran, Thuy V.
Issued: 21 June 2005)
For: **DUAL LED/INCANDESCENT**)
SECURITY FIXTURE)

STATEMENT UNDER 37 C.F.R. § 1.324 FROM
PERSON BEING ADDED AS AN INVENTOR

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In accordance with 37 C.F.R. § 1.324, I, Michael Siminovitch, hereby declare that the error in inventorship that is being corrected by my addition as an inventor occurred without deceptive intention on my part.

Date:

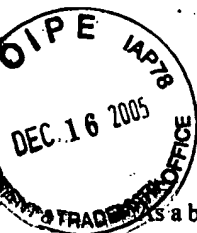
SEP 12/05

Signature:

[Handwritten Signature]

Please direct any telephone calls or correspondence concerning this statement to:

Edward J. Grundler
Park, Vaughan & Fleming, LLP
2820 Fifth Street
Davis, CA 95616-7759
(530) 759-1663

**COMBINED DECLARATION AND POWER OF ATTORNEY**

I, a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below by my name;

I believe I am the original, first and sole inventor, if only one name is listed below, or an original, first and joint inventor if multiple names are listed below, of the subject matter which is claimed and for which a patent is sought on the invention entitled:

DUAL LED/INCANDESCENT SECURITY FIXTURE

for which a patent application:

☐ is attached hereto.

☒ was filed in the United States on 8 July 2003 as Application No. 10/616,313;
☒ with amendment(s) filed on 9 December 2004 (if applicable).

I hereby state that I have reviewed and understand the contents of the application identified above, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information known to me to be material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56, which states in relevant part:

Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section.... The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office....

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d), of any foreign application(s) for patent or inventor's certificate as indicated below and have also identified below any foreign application for patent or inventor's certificate on this invention having a filing date before that of the application on which priority is claimed:

| EARLIEST FOREIGN APPLICATION(S), IF ANY, FILED PRIOR TO THE FILING DATE OF THE APPLICATION | | | |
|--|---------|--------------------------------------|--|
| APPLICATION NUMBER | COUNTRY | DATE OF FILING (Day, Month, Year) | PRIORITY CLAIMED |
| | | | YES <input type="checkbox"/> NO <input type="checkbox"/> |

I hereby claim the benefit under Title 35, United States Code, §119(e), of any United States provisional application(s) listed below:

| APPLICATION NUMBER | DATE OF FILING |
|--------------------|----------------|
| | |

I hereby claim the benefit under Title 35, United States Code, §120, of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information that is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56, which became available between the filing date of the prior application and the national or PCT international filing date of this application:

| APPLICATION NUMBER | DATE OF FILING | STATUS | | |
|--------------------|----------------|----------|---------|-----------|
| | | PATENTED | PENDING | ABANDONED |
| | | | | |

I hereby appoint Daniel E. Vaughan (Reg. No. 42,199), A.

Richard Park (Reg. No. 41,241), Hoyt A. Fleming (Reg. No.

41,752) and Edward J. Grundler (Reg. No. 47,615) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith, and to file, prosecute and transact all business in connection with international applications directed to said invention.

Address correspondence to:

Park, Vaughan & Fleming LLP
2820 Fifth Street
Davis, CA 95616



22835

PATENT TRADEMARK OFFICE

Direct telephone calls to:

Edward J. Grundler
(530) 759-1663

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, §1001, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

| | | | |
|---|--|--|---------------|
| 1 | Name and Citizenship | Kevin W. Gauna | United States |
| | Residence Address | 1160 Besito Avenue, Berkeley, CA 94720 | |
| | Postal Address (if different from Residence) | 1345 S 50th St. Richmond CA 94804 | |
| | Signature and Date | <i>[Signature]</i> | Date 12/7/05 |
| 2 | Name and Citizenship | Erik R. Page | United States |
| | Residence Address | 1012 Roosevelt Avenue, Winters, CA 95694 | |
| | Postal Address (if different from Residence) | | |
| | Signature and Date | | Date |
| 3 | Name and Citizenship | Michael Siminovitch | |
| | Residence Address | 813 Harvard Bend, Woodland, CA 95695 | |
| | Postal Address (if different from Residence) | | |
| | Signature and Date | | Date |
| 4 | Name and Citizenship | | |
| | Residence Address | | |
| | Postal Address (if different from Residence) | | |
| | Signature and Date | | Date |
| 5 | Name and Citizenship | | |
| | Residence Address | | |
| | Postal Address (if different from Residence) | | |
| | Signature and Date | | Date |

Additional inventor name(s) and signature(s) attached?: YES ☐ NO ☒



Attorney Docket No. UC05-651-1

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below by my name;

I believe I am the original, first and sole inventor, if only one name is listed below, or an original, first and joint inventor if multiple names are listed below, of the subject matter which is claimed and for which a patent is sought on the invention entitled:

DUAL LED/INCANDESCENT SECURITY FIXTURE

for which a patent application:

☐ is attached hereto.

☒ was filed in the United States on 8 July 2003 as Application No. 10/616,313;

☒ with amendment(s) filed on 9 December 2004 (if applicable).

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I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d), of any foreign application(s) for patent or inventor's certificate as indicated below and have also identified below any foreign application for patent or inventor's certificate on this invention having a filing date before that of the application on which priority is claimed:

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| | | | YES <input type="checkbox"/> NO <input type="checkbox"/> |

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|--------------------|----------------|----------|---------|-----------|
| | | PATENTED | PENDING | ABANDONED |
| | | | | |

I hereby appoint Daniel E. Vaughan (Reg. No. 42,199), A.

Richard Park (Reg. No. 41,241), Hoyt A. Fleming (Reg. No.

41,752) and Edward J. Grundler (Reg. No. 47,615) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith, and to file, prosecute and transact all business in connection with international applications directed to said invention.

Address correspondence to:

Park, Vaughan & Fleming LLP
2820 Fifth Street
Davis, CA 95616



22835

PATENT TRADEMARK OFFICE

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Edward J. Grundler
 (530) 759-1663

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, §1001, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

| | | | |
|---|--|--|---------------|
| 1 | Name and Citizenship | Kevin W. Gauna | United States |
| | Residence Address | 1160 Besito Avenue, Berkeley, CA 94720 | |
| | Postal Address (if different from Residence) | | |
| | Signature and Date | | Date |
| 2 | Name and Citizenship | Erik R. Page | United States |
| | Residence Address | 1012 Roosevelt Avenue, Winters, CA 95694 | |
| | Postal Address (if different from Residence) | | |
| | Signature and Date | <i>Erik R. Page</i> | Date 7-6-05 |
| 3 | Name and Citizenship | Michael Siminovich | |
| | Residence Address | 813 Harvard Bend, Woodland, CA 95695 | |
| | Postal Address (if different from Residence) | | |
| | Signature and Date | | Date |
| 4 | Name and Citizenship | | |
| | Residence Address | | |
| | Postal Address (if different from Residence) | | |
| | Signature and Date | | Date |
| 5 | Name and Citizenship | | |
| | Residence Address | | |
| | Postal Address (if different from Residence) | | |
| | Signature and Date | | Date |

Additional inventor name(s) and signature(s) attached?: YES ☐ NO ☒

**COMBINED DECLARATION AND POWER OF ATTORNEY**

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below by my name;

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Richard Park (Reg. No. 41,241), Hoyt A. Fleming (Reg. No.

41,752) and Edward J. Grundler (Reg. No. 47,615) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith, and to file, prosecute and transact all business in connection with international applications directed to said invention.

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Davis, CA 95616



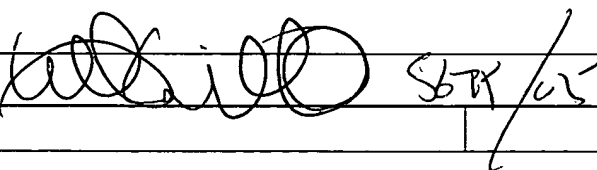
22835

PATENT TRADEMARK OFFICE

Direct telephone calls to:

Edward J. Grundler
 (530) 759-1663

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, §1001, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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| | Residence Address | 1160 Besito Avenue, Berkeley, CA 94720 | |
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| | Signature and Date | | Date |
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| | Postal Address (if different from Residence) | | |
| | Signature and Date | | Date |
| 3 | Name and Citizenship | Michael Siminovitch | |
| | Residence Address | 813 Harvard Bend, Woodland, CA 95695 | |
| | Postal Address (if different from Residence) | | |
| | Signature and Date |  5/27/05 | Date 5/27/05 |
| 4 | Name and Citizenship | | |
| | Residence Address | | |
| | Postal Address (if different from Residence) | | |
| | Signature and Date | | Date |
| 5 | Name and Citizenship | | |
| | Residence Address | | |
| | Postal Address (if different from Residence) | | |
| | Signature and Date | | Date |

Additional inventor name(s) and signature(s) attached?: YES ☐ NO ☒